

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Bang LUU, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/09244

GAU:

FILED:

Herewith

FOR:

**EXAMINER:** 

INDOLE DERIVATIVES AND DRUGS CONTAINING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Customer Number

10/520136 DTO 'd PCT/PTO 03 JAN 2005 SHEET 1 OF 2

Formation   Commence   Commence
Bang LUU, et al.   FILING DATE   Herewith   FILING DATE   Herewith   Parent DOCUMENTS
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DOCUMENT   DATE   NAME   CLASS   SUB   FILING DATE   NAME   CLASS   CLASS   IF APPROPRIATE
EXAMINER INITIAL         DOCUMENT NUMBER         DATE         NAME         CLASS CLASS IF APPROPRIATE           " AA 4053624         10/11/77         BOEHRINGER MANNHEIM GmbH.                     " AB 3996241         12/07/76         BOEHRINGER MANNHEIM GmbH.                     AC 2002/058648         05/16/02         HAMMERLY, Milton                     AD 4147786         04/03/79         CIBA-GEIGY AG.                     AE 3974179         08/10/76         AMERICAN HOME PRODUCTS CORP.                     AF   AG   AJ   AK   AK
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FOREIGN PATENT DOCUMENTS
DOCUMENT DATE COUNTRY TRANSLATION YES NO
AO 2002-114763 04/16/02 JP(English abstract only) NO
AP 99/08987 02/25/99 WO(Equivalent to US 6228893) NO
AQ 49-34986 09/19/74 JP(with partial English translation) NO
AR 98/50357 11/12/98 WO NO
AS 747379 12/11/96 EP(Equivalent to US 5703070) NO
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Tadashi HIRATA: "SYNTHETIC STUDIES ON MITOMYCINS SYNTHESIS  AZ  OF AZIRIDINO_PYRROLO (1,2-a)INDOLES." Tetrahedron Letters, No. 1, pages 19 to 22, 1969.  Additional References sheet(s) attached
Examiner Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449		U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.	
(Modified)		PATENT AND TRADEMARK OFFICE	263662US0PCT	New U.S. PCT Application Based on PCT/JP03/09244	
			APPLICANT		
LIST OF REFERENCES CITED BY APPLICANT			Yasushi SHIOYA, et al.		
			FILING DATE	GROUP	
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		OTHER REFERENCES (	Including Author, Title, Date, Pertinen	Pages, etc.)	
	Shoji KAMIYA: "A Novel Series of Thromboxane A2 Synthetase Inhibitors with Free Radical Scavenging and Anti-				
<b>.</b>	AAA peroxidative Activities." Chem.Pharm.Bull., vol. 49, no. 5, pages 563-71, May 2001.				
	AAB	A.B. HANLEY: "RING OXYGENATED 3, pages 598-600, 1985.	D INDOLE GLUCOSINOLATES OF BRA	SSICA SPECIES" Phytochemistry, vol. 24, no.	
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